**🧾 Service Catalog: Regulatory & Compliance Services**

**🌐 Section Title:**

**Compliance-Driven Solutions to Navigate Global Regulatory Demands**

Our experts have delivered mission-critical regulatory reporting and compliance solutions across jurisdictions — including DTCC, HKTR, EMIR, MAS, FATCA, and GDPR — ensuring clients stay ahead of ever-evolving mandates.

**🧩 1. Trade & Transaction Reporting Compliance**

**🔹 Overview:**

Implement and automate trade and transaction reporting frameworks to comply with global and local regulatory bodies.

**✅ Key Services:**

* DTCC, HKTR, EMIR, SFTR, MAS TRR reporting setup
* End-to-end report generation and submission automation
* UTI generation, enrichment, and reconciliation processes
* Reporting across asset classes: FX, OTC Derivatives, Securities
* Submission validation and error feedback integration

**🎯 Outcomes:**

* Timely, accurate, and audit-ready reports
* Lower compliance risk and regulatory penalties
* Centralized reporting framework across jurisdictions

**🧩 2. Tax Compliance & FATCA/CRS Reporting**

**🔹 Overview:**

Streamline global tax compliance processes with automated systems that meet FATCA, CRS, and other jurisdiction-specific requirements.

**✅ Key Services:**

* Client and account classification logic (US indicia, residency tests)
* Report formatting (XML schema, OECD guidelines)
* Data validation, enrichment, and exception workflows
* Integration with IRS portals and local tax authority APIs
* Archiving and secure audit logs

**🎯 Outcomes:**

* Reduced manual effort in tax reporting
* On-time submission of FATCA/CRS reports
* Secure and privacy-compliant client data handling

**🧩 3. Privacy & Data Protection Compliance**

**🔹 Overview:**

Ensure your data ecosystems are fully compliant with privacy laws like GDPR, PDPA, and CCPA, including data subject rights, breach readiness, and consent management.

**✅ Key Services:**

* Data discovery, classification, and lineage mapping
* Consent capture, access request, and erasure workflow automation
* Data masking and anonymization strategies
* GDPR-compliant logging and retention policies
* Privacy Impact Assessment (PIA) support

**🎯 Outcomes:**

* Enterprise-wide data privacy readiness
* Reduced exposure to compliance breaches
* Automated management of subject rights requests

**🧩 4. Regulatory Data Governance & Audit Readiness**

**🔹 Overview:**

Implement strong data governance practices and documentation frameworks to ensure readiness for internal and external audits.

**✅ Key Services:**

* Regulatory data dictionary and lineage documentation
* Audit trail generation for reporting flows and data transformations
* Change impact assessment documentation
* Evidence generation for controls and validations
* Alignment with BCBS 239, DORA, and internal policies

**🎯 Outcomes:**

* Faster and smoother regulatory audits
* Proactive control of data risk and process gaps
* Harmonized data usage across business and compliance teams

**📌 Optional Add-ons:**

* **Control Dashboard**: Live visualization of compliance KPIs, breaks, and SLAs
* **Automated Alerting**: Triggers for late/missing reports, data gaps, or schema errors
* **Managed Service**: Regulatory reporting as a managed/outsourced service
* **Cross-Border Regulatory Intelligence**: Insights on overlapping reporting regimes